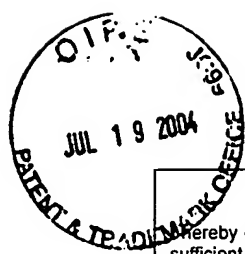


IFW



**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

Hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: July 16, 2004

Name: Amanda M. Church

Signature: Amanda Church

**BRINKS  
HOFFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Charles Ray Ashcraft et al.

Appln. No.: 10/686,558

Filed: October 15, 2003

For: WRAPPING MATERIALS FOR SMOKING  
ARTICLES

Attorney Docket No: 11867/20

Examiner: TBA

Art Unit: TBA

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Information Disclosure Statement (in dup.); Form PTO-1449  
☒ Return Receipt Postcard

**Fee calculation:**

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

**Fee payment:**

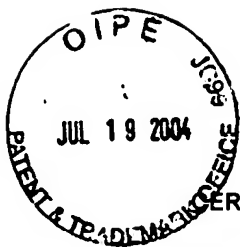
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

July 16, 2004

Respectfully submitted,

Amanda Church  
Amanda M. Church (Reg. No. 52,469)



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 16, 2004

Date of Deposit

Amanda M. Church

Name of Applicant, Assignee or  
Registered Representative

Amanda Church

Signature

7-16-04

Date of Signature

Our Case No.: 11867/20

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Charles Ray Ashcraft et al.

Serial No.: 10/686,558

Filing Date: October 15, 2003

For: WRAPPING MATERIALS FOR  
SMOKING ARTICLES

Examiner: To Be Assigned

Group Art Unit No.: To Be Assigned

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

### U.S. Patent Documents

No.	Date	Name
1,555,320	09/29/1925	Weil
1,581,451	04/20/1926	Knapp
1,581,619	04/20/1926	Sulzberger
1,909,924	05/16/1933	Schweitzer et al.
1,996,002	03/26/1935	Seaman
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2,754,207	07/10/1956	Schur et al.
2,805,669	09/19/1957	Merino
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WO 03/077686 A1	09/2003	PCT

### Other Art

Durocher, Donald F., "The Influence of Paper Components on the Delivery of Low-Tar Cigarettes", Tobacco Journal International, June 3, 1985, pp. 188-194
Norman, Alan, "Cigarette Design and Materials", TOBACCO, Production, Chemistry and Technology, undated but before November 25, 2002, edited by D. Layten Davis and Mark T. Nielsen, pp. 353-363

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be

construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

This application is a continuation-in-part application of U.S. Serial No. 10/303, 646, filed November 25, 2002 and is relied upon for an earlier filing dated under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) only copies of documents not previously cited and submitted to the Patent and Trademark Office in the prior application Serial No. 10/303, 646 must be enclosed for the convenience of the Examiner. Accordingly, at this time, Applicants have no knowledge of references not previously cited in the parent application.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink that reads "Amanda Church". The signature is written in a cursive style with a large initial 'A' and a long horizontal stroke at the end.

---

Amanda M. Church  
Registration No. 52,469  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



FORM PTO-1449	SERIAL NO. 10/686,558	CASE NO. 11867/20
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE October 15, 2003	GROUP ART UNIT TBA
(use several sheets if necessary)		APPLICANT(S): Ray Ashcraft et al.

# REFERENCE DESIGNATION

# U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	1,555,320	09/29/1925	Weil		
	A2	1,581,451	04/20/1926	Knapp		
	A3	1,581,619	04/20/1926	Sulzberger		
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	A10	2,049,320	07/28/1936	Ruben et al.		
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	A13	2,246,929	06/24/1941	Seney		
	A14	2,307,088	01/05/1943	Whitley		
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	A17	2,666,437	01/19/1954	Lattof		
	A18	2,718,889	09/27/1955	Claussen		
	A19	2,733,720	02/07/1956	Schur et al.		
	A20	2,754,207	07/10/1956	Schur et al.		
	A21	2,805,669	09/19/1957	Merino		
	A22	2,886,042	05/12/1959	Hoover		
	A23	2,976,190	03/21/1961	Meyer		
	A24	2,998,012	08/29/1961	Lamm		
	A25	3,030,963	04/24/1962	Cohn		
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	A28	3,106,210	10/08/1963	Reynolds et al.		
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	A30	3,395,714	08/06/1968	Kahane		
	A31	3,409,021	11/05/1968	Owaki		
	A32	3,477,440	11/11/1969	Licis		
	A33	3,511,247	05/12/1970	Tamol		
	A34	3,517,672	06/30/1970	Michelson		
	A35	3,526,904	09/01/1970	Tamol		
	A36	3,529,605	09/22/1970	Beringer et al.		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449	SERIAL NO. 10/686,558	CASE NO. 11867/20
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 15, 2003	GROUP ART UNIT TBA
(use several sheets if necessary)		APPLICANT(S): Ray Ashcraft et al.

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A37	3,586,005	06/22/1971	Lippman et al.		
	A38	3,633,589	01/11/1972	Kahane et al.		
	A39	3,640,285	02/08/1972	Briskin et al.		
	A40	3,699,973	10/24/1972	Tamol et al.		
	A41	3,705,588	12/12/1972	Tamol et al.		
	A42	3,782,393	01/01/1974	Michelson		
	A43	3,805,799	04/23/1974	Stewart, Jr. et al.		
	A44	3,908,671	09/30/1975	Cogbill, II		
	A45	3,911,932	10/14/1975	Houck, Jr. et al.		
	A46	4,020,850	05/03/1977	Cogbill, II		
	A47	4,061,147	12/06/1977	Falchi		
	A48	4,077,414	03/07/1978	Baker et al.		
	A49	4,088,142	05/09/1978	Horsewell et al.		
	A50	4,129,134	12/12/1978	Hind et al.		
	A51	4,146,040	03/27/1979	Cohn		
	A52	4,187,862	02/12/1980	Cohn		
	A53	4,225,636	09/30/1980	Cline et al.		
	A54	4,230,131	10/28/1980	Simon		
	A55	4,231,377	11/04/1980	Cline et al.		
	A56	4,236,532	12/02/1980	Schweizer et al.		
	A57	4,239,591	12/16/1980	Blake		
	A58	4,243,053	01/06/1981	Cartwright et al.		
	A59	4,450,847	05/29/1984	Owens		
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	A61	4,453,553	06/12/1984	Cohn		
	A62	4,480,650	11/06/1984	Weinert		
	A63	4,489,738	12/25/1984	Simon		
	A64	4,590,955	05/27/1986	Dixit		
	A65	4,598,720	07/08/1986	Gabriel		
	A66	4,607,647	08/26/1986	Dashley et al.		
	A67	4,615,345	10/07/1986	Durocher		
	A68	4,622,983	11/18/1986	Matthews et al.		
	A69	4,679,575	07/14/1987	Yamaguchi et al.		
	A70	4,691,717	09/08/1987	Ikeda et al.		
	A71	4,730,628	03/15/1988	Townsend et al.		
	A72	4,739,775	04/26/1988	Hampl, Jr.		
	A73	4,784,163	11/15/1988	Adams et al.		
	A74	4,889,145	12/26/1989	Adams et al.		
	A75	4,924,883	05/15/1990	Perfetti et al.		
EXAMINER			DATE CONSIDERED			

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	A77	4,942,888	07/24/1990	Montoya et al.		
	A78	4,945,932	08/07/1990	Mentzel et al.		
	A79	4,998,542	03/12/1991	Kallianos et al.		
	A80	4,998,543	03/12/1991	Goodman et al.		
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	A83	5,101,839	04/07/1992	Jakob et al.		
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	A96	5,450,863	09/19/1995	Collins et al.		
	A97	5,474,095	12/12/1995	Allen et al.		
	A98	5,540,242	07/30/1996	Chao et al.		
	A99	5,589,034	12/31/1996	Hultman et al.		
	A100	5,595,195	01/21/1997	Chang et al.		
	A101	5,598,868	02/04/1997	Jakob et al.		
	A102	5,690,787	11/25/1997	Hultman et al.		
	A103	5,820,998	10/13/1998	Hotaling et al.		
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	A107	6,129,087	10/10/2000	Wallace et al.		
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	A109	6,568,403 B2	05/27/2003	Hampl, Jr. et al.		
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EXAMINER

DATE CONSIDERED

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(use several sheets if necessary)		APPLICANT(S): Ray Ashcraft et al.

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	A140	Durocher, Donald F., "The Influence of Paper Components on the Delivery of Low-Tar Cigarettes", Tobacco Journal International, June 3, 1985, pp. 188-194
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